

## TRANSMITTAL FORM

Attorney Docket No.  
RPS920010015US1  
2032P

2132 P  
ZFW

In re the application Richard A. DAYAN, et al.

Confirmation No: 6065

Serial No: 09/841,150

Group Art Unit: 2132

Filed: April 24, 2001

Examiner: Lemma, Samson B.

For: METHOD AND SYSTEM FOR PROVIDING A TRUSTED BOOT SOURCE IN A PARTITION

## ENCLOSURES (check all that apply)

<input type="checkbox"/>	Amendment/Reply	<input type="checkbox"/>	Assignment and Recordation Cover Sheet	<input type="checkbox"/>	After Allowance Communication to Group
	<input type="checkbox"/> After Final	<input type="checkbox"/>	Part B-Issue Fee Transmittal	<input type="checkbox"/>	Notice of Appeal
<input checked="" type="checkbox"/>	Information disclosure statement	<input type="checkbox"/>	Letter to Draftsman	<input type="checkbox"/>	Appeal Brief (in triplicate)
	<input checked="" type="checkbox"/> Form 1449	<input type="checkbox"/>	Drawings	<input type="checkbox"/>	Status Letter
	<input checked="" type="checkbox"/> 6 Reference Copies	<input type="checkbox"/>	Petition	<input checked="" type="checkbox"/>	Postcard
<input type="checkbox"/>	Extension of Time Request *	<input type="checkbox"/>	Fee Address Indication Form	<input type="checkbox"/>	Other Enclosure(s) (please identify below):
<input type="checkbox"/>	Express Abandonment	<input type="checkbox"/>	Terminal Disclaimer		
<input type="checkbox"/>	Certified Copy of Priority Doc	<input type="checkbox"/>	Power of Attorney and Revocation of Prior Powers		
<input type="checkbox"/>	Response to Incomplete Appln	<input type="checkbox"/>	Change of Correspondence Address		
<input type="checkbox"/>	Response to Missing Parts		*Extension of Term: Pursuant to 37 CFR 1.136, Applicant petitions the Commissioner to extend the time for response for xxxxx month(s), from to .		
	<input type="checkbox"/> Executed Declaration by Inventor(s)				

## CLAIMS

FOR	Claims Remaining After Amendment	Highest # of Claims Previously Paid For	Extra Claims	RATE	FEE
Total Claims	0	0	0	\$ 50.00	\$ 0.00
Independent Claims	0	0	0	\$200.00	\$ 0.00
				Total Fees	\$ 0.00

## METHOD OF PAYMENT

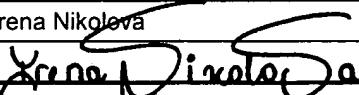
<input checked="" type="checkbox"/>	Check no. <u>8275</u> in the amount of \$ <u>180.00</u> is enclosed for payment of IDS submission fee.
<input checked="" type="checkbox"/>	Charge any additional fees or credit any overpayment to Deposit Account No. <u>02-2120</u> (Sawyer Law Group LLP)

## SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Attorney Name	Janyce R. Mitchell, Reg. No. 40,095
Signature	/Janyce R. Mitchell/ Reg. No. 40,095 Janyce R. Mitchell
Date	February 17, 2005

## CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Mail Stop Amendment, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on February 17, 2005

Type or printed name	Irena Nikoleva
Signature	

BEST AVAILABLE COPY

CERTIFICATE OF MAIL

I hereby certify that this correspondence is being deposited with the United States Postal Service as First Class Mail in an envelope addressed to the Mail Stop Amendment, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on **February 17, 2005**.

Irena Nikolova



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re Application of:

Date: February 17, 2005

Richard a. DAYAN, et al.

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For: METHOD AND SYSTEM FOR PROVIDING A TRUSTED BOOT SOURCE  
IN A PARTITION

Mail Stop Amendment  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

INFORMATION DISCLOSURE  
STATEMENT UNDER 37 C.F.R. §1.97

Sir:

Pursuant to 37 C.F.R. §1.97 and §1.98, Applicants submit for consideration in the above-identified application the documents listed on the attached Form PTO-1449. The Examiner is requested to make these documents of record. This Information Disclosure Statement is being submitted:

- With copies.
- Without copies. Copies of the documents were previously submitted in an Information Disclosure Statement and/or Office Action, directed to the related application Serial No. \*\*, filed \*\*. This protocol conforms with 37 C.F.R. §1.98(d) and M.P.E.P. 609 (A)(2).
- This Information Disclosure Statement is being submitted with only copies of non-U.S. patent publication(s) and non-patent literature. This protocol conforms with 37 CFR 1.98(a)(2)(i), which waives the requirement for submitting a copy of each cited U.S. Patent and each U.S. patent application publication for all U.S. national patent applications filed after June 30, 2003.

- The documents listed on the attached Form PTO-1449 were cited in a Search Report directed to a counterpart international or foreign application.
- With the application; accordingly, no fee or separate requirements are required.
- Within three months of the application filing date, or before mailing of a first Office Action on the merits.
- Before the mailing of a first Office action after the filing of a request for continued examination under §1.114.
- After receipt of a first Office Action on the merits, but before the mailing date of a Final Office Action under §1.113, or a Notice of Allowance §1.311. Accompanied by one of:
  - Certification under 37 CFR §1.97(e); **or**
  - The fee set forth in §1.17(p)
- After mailing of a final Office Action or Notice of Allowance, but on or before payment of the issue fee. Accompanied by:
  - Certification under 37 CFR §1.97(e); **and**
  - The fee set forth in §1.17(p)
- A Certification under 37 C.F.R. §1.97(e) is provided below:
  - I hereby certify that each item of information was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Information Disclosure Statement.  
-or-  
 I hereby certify that no item of information was cited in a communication from a foreign patent office in a counterpart foreign application or, to the best of my knowledge after making a reasonable inquiry, was known to any individual designated in 37 C.F.R. §1.56(c) more than three months prior to the filing of this Information Disclosure Statement.

Applicants would appreciate the Examiner initialing and returning the Form PTO-1449, indicating that the information has been considered and made of record herein.

Respectfully submitted,

SAWYER LAW GROUP LLP

February 17, 2005  
Date

/Janyce R. Mitchell/ Reg. No. 40,095  
Janyce R. Mitchell  
Attorney for Applicant(s)  
(650) 493-4540

FORM PTO-1449 (Modified)

LIST OF PATENTS AND PUBLICATIONS FOR  
APPLICANT'S INFORMATION  
DISCLOSURE STATEMENT

(Use several sheets if necessary)



ATTY. DOCKET NO.

RPS920010015US1/2032P

SERIAL NO.

09/841,150

APPLICANT:

Richard A. DAYAN, et al.

FILING DATE:

April 24, 2001

GROUP:

2132

## REFERENCE DESIGNATION

## U.S. PATENT DOCUMENTS

EXAMINER INITIALS		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE (IF APPRO.)

## FOREIGN PATENT DOCUMENTS

		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	Translation
		JP4192027	07-10-1992	Japan			X Abstract
		JP63175955	07-20-1988	Japan			X Abstract

## OTHER ART (Including Author, Title, Date, Pertinent Pages, etc.)

		IBM Technical Disclosure Bulletin, "Supervisor Password Access to System Partition on Initial Microprogram Load Machines", Vol. 26 No. 04, April 1993, pp. 475
		IBM Technical Disclosure Bulletin, "Multi-User System Environment", Vol. 40 No. 09, September 1997, pp. 21-22
		IBM Technical Disclosure Bulletin, "Remote Operation of Power-On Self Test and the Reference Partition", Vol. 37 No. 08, August 1994, pp. 655-656
		IBM Research Disclosure, "Signalling Power-On Password Removal in a Secured Environment", No. 42487, August 1999

EXAMINER

DATE CONSIDERED

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.